

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Zuk et al

Art Unit : 2131

Serial No.: 10/072,683

Examiner: Unknown

Filed Title

: February 8, 2002

: MULTI-METHOD GATEWAY-BASED NETWORK SECURITY SYSTEMS

AND METHODS

Commissioner for Patents Washington, D.C. 20231

RECEIVED

FEB 2 5 2003

INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

Copies of the references listed on the attached form PTO-1449 are enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 13 February

Mark D. Kirkland Reg. No. 40,048

Fish & Richardson P.C.

500 Arguello Street, Suite 500 Redwood City, California 94063

Telephone: (650) 839-5070 Facsimile: (650) 839-5071

50120157.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Depos

Signature

Typed or Printed Name of Person Signing Certificate



• •		<u> </u>
01	Substitute (Modified)	Form PTO-1449
FEB	2 4 2003	Information
PRICE TO	MATSER S	(Use seve

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 09725-017001

Application No. 10/072,683 RECEIVE

tion Disclosure Statement by Applicant

Applicant Zuk et al

FEB 2 5 2003

several sheets if necessary)

Filing Date February 8, 2002 Group Art UTEChnology Center 2100

			U.S. Pate	ent Documents	<u>.</u>	•	
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6,311,278	10/30/01	Raanan et al.			
	AB	6,304,975	10/16/01	Shipley			
	AC	6,301,668	10/9/01	Gleichauf et al.			
	AD	6,279,113	8/21/01	Vaidya			
	AE	6,275,942	8/14/01	Bernhard			
	AF	6,119,236	9/12/00	Shipley			
	AG	5,835,726	11/10/98	Shwed et al.			
	AH	5,606,668	2/25/97	Shwed			
	AI						
	AJ						
	AK					 -	

	Foreig	n Patent Doc	uments or Pu	blished Foreign	Patent A	Application	าร		
Examiner	Desig.	Document	Publication	Country or			Translation		
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No	
	AL		1						
	AM								
	AN								
	AO								
	AP								

	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner	Examiner Desig.					
Initial	ID	Document				
	AQ /	Axelsson, S., "Intrusion Detection Systems: A Survey and Taxonomy," Dept. of Computer Eng., Chalmers Univ. of Technology, Goteborg, Sweden, March 14, 2000				
	AR	Avolio, F., "Firewalls and Virtual Private Networks," CSI Firewall Archives, printed 11/13/2001 URL: http://www.spirit.com/CSI/Papers/fw+vpns.html				
	AS ′	Bace, R., "An Introduction to Intrusion Detection & Assessment," ICSA Intrusion Detection Systems Consortium White Paper, 1999, URL: http://www.icsalabs.com/html/communities/ids/whitepaper/Intrusion1.pdf				
	AT /	Business Wire, Inc., "NetScreen and OneSecure Unite to Deliver Industry's First Total Managed Security Services Platform," San Jose, California, February 20, 2001				

Examiner	Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Supstitute Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office

Patent and Trademark Office

O9725-017001

Application No. 10/072, GECEIVED

Applicant Zuk et al

FEB 2 5 2003

Filing Date February 8, 2002

Group Art Unit 2131 Iechnology Center 2100

Examiner	Desig.	
Initial	ID	Document
		Business Wire, Inc., "OneSecure Launches the First Co-Managed Security Services Platform,"
	AU	Denver, Colorado, January 29, 2001
	A 3.7	Carr, Jim, "Intrusion Detection Systems: Back to Front?", Network Magazine, September 5, 2001,
	AV	URL: http://www/networkmagazine.com/article/NMG20010823S0007/2
	A 337	Check Point Software Technologies Ltd., FireWall-1® Technical Overview P/N 500326,
	AW	www.checkpoint.com, October 2000
	AX	Cisco Systems. "IOS Firewall Intrusion Detection System," Cisco IOS Release 12.0(5)T, March
	AA	2001
	AY	Cisco Systems. "Cisco IOS Firewall Authentication Proxy," Cisco IOS Release 123.0(5)T, March
	AI	2001
	A 77	Clark, D., "RFC815-IP Datagram Reassembly Algorithms," Internet RFC/STD/FYI/BCP Archives,
	AZ	http://www.faqs.org/rfcs/rfc815.html July 1982
	A A A	Copeland, Dr. John A., "Observing Network Traffic - Techniques to Sort out the Good, the Bad, an
	AAA	the Ugly," PowerPoint Slide Presentation presented to ISSA-Atlanta, June 27, 2001
	ADD	Denning, Dorothy E., "An Intrusion-Detection Model," IEEE Transactions on Software
	ABB	Engineering, Vol. SE-13, No. 2, February 1987, pp. 222-223
	A C C	Farrow, Rik., "An Analysis of Current Firewall Technologies," CSI 1997 Firewalls Matrix, printed
	ACC	11/13/02 at URL: http://www.spirit.com/CSI/Papers/farrowpa.htm
	ADD	Firewall Product Comparison Table: VelociRaptor, BorderWare Firewall Server and FireWall-
	ADD	1/VPN-1 Gateway, www.spirit.com, printed 11/13/01
	AEE	Firewall Product Comparison Table: PIX Firewall, CyberGuard Firewall for UnixWare &
	ALL	CyberGuard Firewall for Windows NT, www.spirit.com, printed 11/13/01
	A IPP	Firewall Product Comparison Table: CyberGuard Premium Appliance Firewall, InstaGate EX &
	AFF	BixGuardian VPN, www.spirit.com, printed 11/13/01
	AGG	Firewall Product Comparison Table: Server Protector 100, GNAT Box Firewall Software & Lucen
	AGG	Managed Firewall, www.spirit.com, printed 11/13/01
-	АНН	Firewall Product Comparison Table: Internet Security and Acceleration (ISA) Server 2000,
	Ann	NetBSD/i386 Firewall & Guardian Firewall, www.spirit.com, printed 11/13/01
	AII	Firewall Product Comparison Table: NetScreen-10 and NetScreen-100, CyberwalPLUS &
	AII	BoarderManager, www.spirit.com, printed 11/13/01
	AJJ	Firewall Product Comparison Table: Gauntlet Firewall, Barricade Class/XL & Barricade S,
	AJJ	www.spirit.com, printed 11/13/01
	AKK	Firewall Product Comparison Table: Sidewinder ™, SecurePipe Managed Firewall Service &
	AKK	SnapGear, www.spirit.com, printed 11/13/01
	ALL	Firewall Product Comparison Table: SonicWALL PRO, SunScreen Secure Net & WinRoute Pro
	ALL	4.1, <u>www.spirit.com</u> , printed 11/13/01
	AMM -	Firewall Product Comparison Table: WatchGuard Technologies, Inc. LiveSecurity System 4.6,
	WIATIAI .	www.spirit.com, printed 11/13/01
	ANNI	Graham, R., "FAQ: Network Intrusion Detection System," www.robertgraham.com/pubs/network-
	ANN	intrusion-detection.html, Ver. 0.8.3, March 21, 2000
		Habra, N. et al., "ASAX: Software Architecture and Rule-based Language for Universal Audit Tra
	AOO	Analysis," Proceedings of the ESORICS '92, European Symposium on Research in Computer
		Security, November 23-25, 1992, Toulouse, Spreinger-Verlag

Examiner Signature Date Considered						
5 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3						
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with						
The distriction of the districti						
next communication to applicant.						

Substitute Form PTO-1449 (Modified) U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 09725-017001

Application No. 10/072,68ECEIVED

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Applicant Zuk et al

FEB 2 5 2003

Filing Date February 8, 2002 Group Art Unit 2131 Technology Center 2100

		ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
milital		ICSA Labs, "IDS Buyer's Guide, Parts One and Two," ICSA White Paper, December 1999, URL:
	APP	http://documents.iss.net/whitepapers/nvh ids.pdf
		Jackson, K. et al., "Intrusion Detection System (IDS) Product Survey," Los Alamos National
	AQQ	Laboratory, Los Alamos, New Mexico USA, LA-UR-99-3883 Ver 2.1, June 25, 1999, URL:
		http://www.sekure.net/ids/00416750.pdf
	ADD	Jones, Kyle, "Introduction to Firewalls," IT Audit.org Forum Network Management, Vol. 2, May 1
	ARR	1999, URL: http://www.itaudit.org/forum/networkmanagement/f209nm.htm
	ACC	Lancope, "The Security Benefits of a Flow-Based Intrusion Detection System," www.lancope.com
	ASS	www.stealthwatch.com, White Paper, date unknown
	4 777	Laplink, Inc., "Article #178 – Introduction to Firewalls,"
	ATT	www.laplink.com/support/kb/article.asp?ID+178, April 24, 2001
		McHugh, J. et al., "Defending Yourself: The Role of Intrusion Detection Systems," Software
	AUU	Engineering Institute, IEEE Software Eng., September/October 2000
		Network ICE Corporation, "Why Firewalls Are Not Enough," at
	AVV	www.networkice.com/products/firewalls.html, printed 1/2/02
	AWW	Power, R., et al., "CSI Intrusion Detection System Resource – Five Vendors Answer Some No-
		Nonsense Questions on IDS," Computer Security Alert #184, July 1998
	AXX	Power, R., "CSI Roundtable: Experts discuss present and future intrusion detection systems,"
		Computer Security Journal, vol. XIV #1, URL: http://www.gocsi.com/rountable.htm, 2001
	AYY	Sample, Char et al., "Firewall and IDS Shortcomings," SANS Network Security, Monterey, CA,
		October 2000
	AZZ	Smith, Gary, "A Brief Taxonomy of Firewalls - Great Walls of Fire," SANS Institute's Security
		Reading Room, May 18, 2001, URL: http://rr.sans.org/firewall./taxonomy.php
	AAAA	Spitzner, Lance, "How Stateful is Stateful Inspection? Understanding the FW-1 State Table,"
	АЛЛА	http://www.enteract.com/~1spitz/fwtable.pl, November 29, 2000
	ABBB	Sundaram, A., "An Introduction to Intrusion Detection," www.acm.org/crossroads/xrds2-
	ABBB	4/intrus.html, January 23, 2001
	1.000	Tyson, Jeff., "How Firewalls Work," http://www.howstuffworks.com/firewall.htm/printable, printed
	ACCC	11/21/01
		Xinetica, Ltd., "An Overview of Intrusion Detection Systems," Xinetica White Paper, November
	ADDD	2001, URL: http://www.xinetica.com/tech_explained/general/idsw/wp_ids.html
		Zuk, Nir, "Protect Yourself With Firewalls," www.techtv.com, July 12, 2001,
	AEEE	URL: http://www.techtv.com/screensavers/print/0,23102,3325761,00.html
		ords. http://www.teentv.com/sereensavers/print/0,25102,5525701,00.ndm
	AFFF	
	AGGG	
	АННН	
	AIII	
	AJJJ	
	AKKK	

Exam	iner	Sign	าล	ture	
------	------	------	----	------	--

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.